

Results of Brief Enforcement Hearings – September 24, 2001
Results of Enforcement Before the Full Commission – September 27, 2001

Note: Brief Enforcement Hearings are heard by a Single Commissioner, normally the Chair of the Commission. Brief enforcement hearings are held when the facts are undisputed, the violations appear to be relatively minor in nature, and a penalty no greater than \$500 will be assessed for the violations. A respondent may appeal the results of a brief enforcement hearing by asking the full Commission to review the findings of the Single Commissioner.

Brief Enforcement Hearings – September 24, 2001

Brief enforcement hearings were held for 21 Respondents who PDC staff alleged had failed to timely file a Candidate Registration (PDC form C-1) and/or a Personal Financial Affairs Statement (PDC form F-1) that were due within two weeks of becoming a candidate. The results of the brief enforcement hearings are included on a separate spreadsheet.

Enforcement Hearings Before the Full Commission – September 27, 2001

1. **Metropolitan Mortgage & Securities Company**, Case #01-181

Results: In Case #01-181, the Commission accepted a Stipulation of Facts, Violations and Penalty and found that the Respondent committed two violations of RCW 42.17.105(8) by making contributions in excess of \$5,000 during the 21 days preceding the 2000 General Election to the John J. Talbott for Strong Mayor Committee.

Stipulated Penalty: \$10,000. An additional \$10,000 penalty was suspended on the condition the Respondent commits no further violations of RCW 42.17 for four years from the date of the order.

2. **Seattle School District**, Case #01-200

Results: The Commission found that the Respondent violated RCW 42.17.680(4) by failing to maintain open for public inspection, during business hours, employees' payroll deduction authorization forms for contributions to a political committee.

Assessed Penalty: \$1,000 with \$500 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.

3. **Derek Young**, Case #01-684

Results: The Commission found that the Respondent violated RCW 42.17.240 by failing to file the F-1 Report by April 16, 2001.

Assessed Penalty: \$750 with \$500 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.

Requests for Review by the Full Commission of Brief Enforcement Hearings

1. **Gregory Barnes**, Case #01-249, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$250.
Results: The Commission affirmed the violation of RCW 42.17.240 and assessed a penalty of \$250 with \$200 suspended on the condition the Respondent pays the \$50 non suspended portion of the penalty within 30 days of the date of the order.
2. **David Coleman**, Case #01-291, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: The Commission affirmed the violation of RCW 42.17.240 and assessed a penalty of \$100 with \$50 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.
3. **Gregory Cox**, Case #01-304, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: The Commission affirmed the violation of RCW 42.17.240 and assessed a penalty of \$100 with \$50 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.
4. **Terry Cress**, Case #01-308, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: No motion. Initial order became a final order.
5. **Carl Davis**, Case #01-316, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$250.
Results: The Commission affirmed the violation of RCW 42.17.240 and assessed a penalty of \$250 with \$200 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.
6. **Richard Dixon**, Case #01-322, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: No motion. Initial order became a final order.

7. **Linda Lamb**, Case #01-446, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: No motion. Initial order became a final order.
8. **Patrick McCulley**, Case #01-476, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: The Commission affirmed the violation of RCW 42.17.240 and assessed a penalty of \$100 with \$50 suspended on the condition the Respondent pays the \$50 non suspended portion of the penalty within 30 days of the date of the order and commits no further violations of RCW 42.17 for a period of four years from the date of the order.
9. **Joani Rise**, Case #01-567, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: No motion. Initial order became a final order.
10. **Stephen Ruhl**, Case #01-575, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: The Commission vacated the initial order and dismissed Case No. 01-575.
11. **Thomas Stevenson**, Case #01-606, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: No motion. Initial order became a final order.
12. **Ann Washut**, Case #01-653, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: No motion. Initial order became a final order.
13. **Barbara West**, Case 01-662, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$100.
Results: The Commission affirmed the violation of RCW 42.17.240 and assessed a penalty of \$100 with \$100 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.

Request for Reconsideration of Final Orders by the Full Commission

1. **William Wulff**, Case #01-683, initially found to have violated RCW 42.17.240 by failing to file the Personal Financial Affairs Statement by April 16, 2001 and assessed a penalty of \$1,000 with \$500 suspended.

Results: No motion. Final order remains a final order.